PIONEER HI-BRED INTERNATIONAL, INC. CORPORATE INTELLECTUAL PROPERTY DEPARTMENT

FAX TRANSMISSION

7100 N.W. 62nd Avenue P.O. Box 1000 Johnston, Iowa 50131-1000

Phone: (515) 248-4878 Fax: (515) 334-6883 RECEIVED
CENTRAL FAX CENTER
JUN 0 2 2004
OFFICIAL

TO:

MAIL STOP AMENDMENT

COMMISSIONER FOR PATENTS

U.S. PATENT AND TRADEMARK OFFICE

FROM:

KIM M. HAGEMANN

RE:

U.S. PATENT APPLICATION SERIAL NO. 10/784,718

ATTORNEY DOCKET NO. 0930C

DATE:

06/02/04

FAX NUMBER: (703) 872-9306

NUMBER OF PAGES FOLLOWING THIS SHEET: 6

COMMENTS:

TRANSMISSION INCLUDES THE FOLLOWING:

Certificate of Transmission (1 Page)
Transmittal Form (1 Page)
Filing of an IDS Under 37 CFR 1.97 (2 Pages)
IDS by Applicant (PTO-1449) (2 Pages)

The contents of this facsimile are or may be attorney privileged and/or confidential and are intended only for the use of the recipient identified above. If the reader of this message is not the identified recipient, or the employee or agent responsible for delivering it to the identified recipient, you are hereby notified that any dissemination or use of this communication is unlawful and strictly prohibited. If you have received this communication in error, please immediately notify the sender by telephone and return the original message to the sender at the above address via the U.S. Postal Service. Anyone so cooperating will be reimbursed for the reasonable expense incurred.

If there is a problem with transmission or illegible pages, please contact the sender or (515) 334-6845.

PTO/SB/97 (08-03) Approved for use through 07/31/2005, OMB 0651-0031

U.S. Potent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on <u>June 2, 2004</u>. Date

Kim M. Hagemann

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

- 1) Transmittal Form/1 Page
- 2) Filing of an IDS Under 37 CFR 1.97/2 Pages
- 3) IDS by Applicant (PTO-1449)/2 Pages

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTQ-9199 and select option 2.

PTO/SB/21 (04-04)
Approved for use through 07/31/208, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		Application Number	10/784,418	
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date	02/23/2004	
		First Named Inventor	Jerome P. Ranch	
		Art Unit		
		Examiner Name		
Total Number of Pages in This Submiss	on 5	Attorney Docket Number	0930C	
	ENGLO	I DSURES (check all that apply)		
Fee Transmittal Form	☐ Drawin		After Allowance Communication to Technology Center (TC)	
Fee Attached	Licens	ing-related Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply	Petitio	n	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final	Provis	n to Convert to a ional Application	Proprietary Information	
Affidavits/declaration(s)		of Attorney, Revocation le of Correspondence Address	Status Letter	
Extension of Time Request		nal Disclaimer	Other Enclosure(s) (please identify below):	
Express Abandonment Request		st for Refund	Filing of an IDS Under 37 CFR 1.97	
☐ Information Disclosure Statement				
Certified Copy of Priority Document(s)	Rema	rks	Variable.	
Response to Missing Parts/ Incomplete Application				
Response to Missing Parts under 37 CFR 1.52 or 1.53		,		
SIGN	IATURE OF	APPLICANT, ATTORNEY, O	R AGENT	
Firm or Individual name Kim M. Hagemann				
Signature K-M. Lag				
Date June 2, 2004	· · · · · · · · · · · · · · · · · · ·			
	CERTIFICA	TE OF TRANSMISSION/MAI	LING	
I hereby certify that this correspondent Service with sufficient postage as first Alexandria, VA 22313-1450 on the date	st class mail in	n an envelope addressed to: C	or deposited with the United States Postal ommissioner for Patents, P.O. Box 1450,	
Typed or printed name Kim M. Ha	gemann , ,			
Signature 4	4. Ha		Date June 2, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 0 2 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.

10/784,418

Confirmation No. 1807

Applicant

Jerome P. Ranch

Filed

02/23/2004

02/23/2004

TC/A.U.

Examiner

Docket No.

0930C

Customer No.

27310

Title

Methods of Transforming Plant and Identifying Parental

Origin of a Chromosome in Those Plants

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FILING OF AN INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97

Attached is a list of documents on form PTQ-1449.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Any foreign patents or non-patent literature documents are attached except those that were supplied in, or cited by the Office during prosecution of, parent Application No. 09/907,411, filed July 17, 2001. Since the benefit of this application was claimed under 35 USC 120, no copies need to be furnished in accordance with 37 CFR §1.98(d); however, copies will be furnished on request.

Serial No. 10/784,417 Attorney Docket No. 0930C

This statement is being filed without any fee in accordance with the provisions of 37 CF. §1.97(b)(3), before the mailing date of a first office action.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §1.16 or §1.17 which may be required by this paper, or credit any overpayment to Deposit Account No. 16-1852.

Respectfully submitted,

Kim M. Hagemann ⁹ Agent for Applicant(s) Registration No. 52,982

PIONEER HI-BRED INTERNATIONAL, INC. Corporate Intellectual Property 7100 N.W. 62nd Avenue P.O. Box 1000 Johnston, Iowa 50131-1000 Phone: (515) 248-4878 Facsimile: (515) 334-6883

PTO/SB/08a (08-03)
Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMS control number

Subs	Substitute for form 1449A/PTO			Complete if Known		
				Application Number	10/784,418	
			CLOSURE	Filing Date	02/23/2004	
ST	STATEMENT BY APPLICANT			First Named Inventor	Jerome P. Ranch	
				Art Unit		
	(Use as ma	ny sheets as	necessary)	Examiner Name		
Shee	et 1	of	2	Attorney Docket Number	0930C	

Document Number Namo of Patentee or Applicant of								
Initials *	Cite No. ¹	Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear			
	A1	US- 5,492,547	02/20/1996	Richard Johnson				
	A2	US- 5,689,053	11/18/1997	Robert et al.				
	А3	US- 5,981,832	11/09/1999	Richard Johnson				
	A4	US- 5,981,584	11/09/1999	Lori Carrigan				
	A5	US- 5,989,915	11/23/1999	Christou et al.				
	A6	US- 6,369,300 B1	04/09/2002	Freestone et al.	· · · · · · · · · · · · · · · · · · ·			
	A7	U\$- 6,512,167B1	01/28/2003	Pierre Carolo				
		US-						
		US-			, ,,,,			
		U\$-						
		US-	***************************************					
		US-			***************************************			
		US-	,					
		U\$-						
		US-						
		US-	· ************************************					
		US-						

		FOREIGN PA	TENT DOCU	MENTS		
Examiner Cite Initiats* No.*	Cito	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Unes.	
	Country Code ³ - Number ⁴ - Kind Code ⁶ (if known)	Date MM-DD-YYYY	Applicant of Citod Document	Where Relevant Passages or Relevant Figures Appear	T ⁸	
	A8	WÖ 91/10725 A1	07/25/1991	Biotechnica International, Inc.		
	A 9	WO 9905903 A1	02/11/1999	Wisconsin Alumni Research Foundation		
	A10	WQ 99/28521 A1	05/27/1999	Pioneer Hi-Bred Int1, Inc.		
				AV		

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinda Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 15 if possible," Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection formation is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 nours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case, Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Tradomark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-3199 and select option 2.

PTO/SB/08b(08-03) Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitut	te for form 1449B	/PTO			Complete if Known	
INIEC	NORS A TIC	N DIC	O OCUBE	Application Number	10/784,418	
			CLOSURE	Filing Date	02/23/2004	
SIA	STATEMENT BY APPLICANT			First Named Inventor	Jerome P. Ranch	
				Art Unit		
	(Use as man)	sheets aş	necessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	0930C	

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²				
	A11	PATERSON et al., Regeneration of Helianthus Annus Inbred Plants from Callus, Plant Science (1985) 42:125-132					
	A12	TEUTONICO et al., Mapping of RFLP and qualitative trait loci in Brassica rapa and comparison to the linkage maps of B. napus, B. oleracea, and Arabidopsis thaliana, Theor Appl Genet (1994) 89:885-894					
	A13	KNITTEL et al., Transformation of sunflower (Helianthus annua L.): a reliable protocol, Cell Reports (1994) 14:81-86					
	A14	STAUB et al., Genetic Markers, Map Construction, and Their Application in Plant Breeding, HortScience (1996) 31(5):729-738					
	A15	CHOI et al., High frequency of cytogenetic aberration in transgenic oat (Avena sativa L.) plants, Plant Science (2000) 156:85-94					
			-				

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an applicant.

Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1459, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.